Interplay between magnetic, electronic and vibrational effects in monolayer Mn_3O_4 grown on Pd(100)

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Abstract

The surface stabilized MnO(100)-like monolayer, characterised by a regular $c(4\times2)$ distribution of Mn vacancies, is studied by hybrid functionals and discussed in the light of available scanning tunneling microscopy and high-resolution electron energy loss spectroscopy data. We show that the use of hybrid functionals is crucial to account for the intermingled nature of magnetic ineractions, electron localization, structural distortions and surface phonons. The proposed Pd(100) supported Mn₃O₄ structure is excellently compatible with the experiments previously reported in literature.

I. INTRODUCTION

The growth of oxide ultrathin films on metal substrates represents a fascinating and active field of research in modern surface science, primarily because of the particular and novel chemical and physical properties, substantially different and to a certain extent richer than the corresponding bulk counterparts. The increasing interest stems from the numerous technological applications and the challenging fundamental understanding that have boosted intensive experimental and theoretical efforts.

In this context, manganese oxides represent a distinguished example, partly because of the complex interplay between orbital, spin and lattice degrees of freedom which lead to prominent phenomena such as colossal magnetoresistence, metal-insulator transitions and exotic magnetic behaviours[1, 2, 3, 4, 5], but also from a chemical point of view considering the wide range of applications comprising catalysis, environmental waste treatments, production of water purifying agents and alkaline/dry-cell batteries[6, 7, 8, 9]. As such, thin films of manganese oxides on metal supports are promising candidates with potential new applications in many different areas.

Thin films of MnO have been successfully prepared on various noble metal substrates, such as Ag(001)[10], Rh(001)[11] and Pt(111)[12, 13]. In a series of previous studies we have provided a detailed description of the Mn oxide phases formed on Pd(100), focusing on both high [20-30 monolayers (ML)] and low (below 1 ML) coverage regimes [14, 15, 16, 17]. In particular, we have shown that upon deposition of 20-30 ML epitaxial MnO(100) films can be grown which can be preferentially converted either into MnO(111) or Mn₃O₄(001) by appropriate tuning of temperature and oxygen pressure. Below 1 ML, a complex surface phase diagram was reported, where nine different novel Mn oxide phases have been detected which belong to two distinct oxygen pressure regimes and are characterised by well-defined structural and vibrational properties, as established by scanning tunnelling microscopy (STM), low-energy electron diffraction (LEED), high-resolution electron energy loss spectroscopy (HREELS) and density functional theory (DFT).

Here, by means of first principles calculations, we aim to explore the structure and properties of one particular Mn_xO_y -Pd(100) interfacial phase, namely the c(4×2)-Mn₃O₄ structure which is formed at intermediate O₂ pressures (around 5×10⁻⁷ mbar). Interestingly, this c(4×2)-Mn₃O₄/Pd(100) structure appears to be very similar to the recently observed

c(4×2)-Ni₃O₄ phase obtained upon reactive evaporation of nickel on Pd(100), which has been identified as a compressed substoichiometric NiO(100) monolayer characterised by a regular rhombic distribution of Ni vacancies [18, 19, 20]. The analogy between Pd(100)-supported Mn₃O₄ and Ni₃O₄ c(4×2) structures is likely to originate from the similarity between the corresponding bulk parent compounds. MnO and NiO crystallise in the same rhombohedrally distorted fcc structure, display a type II antiferromagnetic spin ordering, and the electronic nature is dominated by the partially filled localised 3d states. However, a major difference is observed in the lattice constants of MnO (a_{MnO}=3.14 Å) and NiO (a_{NiO}=2.95 Å) that renders the analogy between Mn₃O₄ and Ni₃O₄ c(4×2)/Pd(100) hardly predictable. Specifically, for the deposition of MnO and NiO on Pd(100) (a_{Pd}=2.75 Å), this difference in the lattice constants results in a much higher positive lattice mismatch for MnO (14 %) than for NiO (7 %). Despite that, bulk-like MnO(100) [14] and NiO(100) [21] films has been both successfully grown on a Pd(100) substrate. Summing up, in spite of the different lattice mismatches, the strong similarities of MnO and NiO seem to support the first glance analogy between the corresponding interfacial Pd(100) supported c(4×2) phases.

In the present work we address this issue and interpret the Mn_3O_4 structure as a compressed epitaxial MnO(100) monolayer with a rhombic $c(4\times2)$ array of manganese vacancies. Our study is mainly concerned with the *ab initio* investigation of structural, electronic, vibrational and magnetic properties, but a link to the experimental findings will be recalled when necessary.

The paper is organised as follows: In Sec. II we describe the computational tools, whereas the results will be presented and discussed in Sec. III. Finally, in Sec. IV we draw conclusions.

II. COMPUTATIONAL DETAILS

The results presented in this work were obtained using the Vienna *ab initio* simulation package (VASP) [22] within standard (Kohn Sham theory) and generalised (hybrid) density functional theory (DFT)[23, 24]. All calculations have been performed using the projector-augmented-wave (PAW) method within the generalised gradient spin density approximation to the DFT in the Perdew-Burke-Ernzerhof parametrisation scheme[27]. Prompted by the satisfactory application of the HSE (Heyd-Scuseria-Ernzerhof)[28, 29] hybrid DFT scheme on the physical properties of manganese oxides presented in a series of recent papers[3, 5, 30],

we have adopted the same hybrid formalism in the present work to calculate the electronic properties and phonon frequencies of the most favourable models.

Unlike standard DFT, HSE employs an admixture of Hartree-Fock (HF) and PBE exchange in the construction of the many body exchange (x) and correlation (c) functional:

$$E_{xc}^{\text{HSE03}} = \frac{1}{4} E_x^{\text{HF,sr},\mu} + \frac{3}{4} E_x^{\text{PBE,sr},\mu} + E_x^{\text{PBE,lr},\mu} + E_c^{\text{PBE}}, \tag{1}$$

where (sr) and (lr) refer to the short- and long-range parts of the respective exchange interactions, whereas μ controls the range separation, varying between 0.2 and 0.3 Å⁻¹. We have used $\mu = 0.2$ Å⁻¹.

The Pd(100) supported $c(4\times2)$ -Mn₃O₄ nanolayer has been modeled with a repeated slab constructed by a four layers thick Pd(100) substrate and a single manganese deficient MnO(100) overlayer with stoichiometry Mn₃O₄. In order to allow for a rhombic distribution of manganese vacancies a two-dimensional (2D) $c(4\times2)$ unit cell has been utilised, which also permitted to test different magnetic configurations. Finally, particular care has been devoted to the interface stacking between the Mn₃O₄ overlayer and the metal substrate. Overall we have tested three models for the vertical set registry, which will be discussed in more details in the next session.

As regards the structural relaxation, the two bottommost Pd layers were kept fixed. To relax the remaining atomic positions (the topmost 2 Pd layers plus the Mn_3O_4 overlayer) we used the interatomic forces calculated through the Hellmann-Feynmann theorem and the geometry was optimised until the change in the total energy was smaller than 10^{-3} eV between two consecutive ionic configurations. Well converged structural and electronic relaxations were reached at an energy cutoff of 300 eV and adopting a Γ -centred symmetry reduced 2D 6 × 6 Monkhorst-Pack [31] k-point mesh for Brillouin zone integrations.

To determine the stability of the various models we have compared their generalised adsorption energy γ defined as:

$$\gamma = (E_{\text{slab}} - E_{\text{Pd}(100)} - n_{\text{Mn}}\mu_{\text{Mn}} - n_{\text{O}}\mu_{\text{O}})$$
 (2)

where E_{slab} indicates the total DFT energy of the $Mn_3O_4/Pd(100)$ system considered, $E_{Pd(100)}$ refers to the clean Pd(100) DFT energy, whereas $n_{Mn/O}$ and $\mu_{Mn/O}$ are the number of manganese (n=3) and oxygen (n=4) atoms and the corresponding reference energies. As

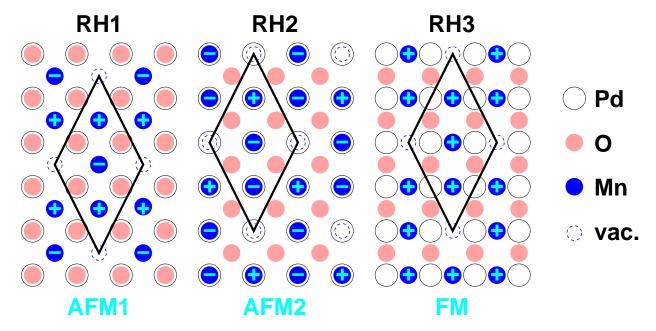


FIG. 1: (Colour online) Top view of the geometrical and magnetic models explored for the Pd(100) supported $c(4\times2)$ Mn_3O_4 phase. The models consist of a MnO(100) overlayer characterised by a rhombic distribution of Mn vacancies. Three different adsorption site registries of the Mn_3O_4 layer on the Pd atoms underneath have been considered. Models RH1 and RH2 refer to a atop O-Pd and Mn-Pd adsorption configuration, respectively, whereas RH3 corresponds to a bridge site adsorption of both O and Mn on Pd(100). Plus and minus signs indicate the orientation of the Mn spins perpendicular to the surface, pointing inward and outward, respectively. Besides the FM arrangement two distinct AFM orderings were studied, which we have called AFM1 and AFM2.

discussed elsewhere [32], we set μ_{Mn} and μ_{O} to the energy of fcc bulk Mn (γ -Mn) and half of the free O_2 dimer energy, respectively.

Finally, phonon frequencies and eigenvectors have been evaluated by diagonalising the dynamical matrix of the most stable structure.

III. RESULTS AND DISCUSSION

The description of the structural and magnetic models considered in our study to simulate the experimentally observed $c(4\times2)$ phase are schematically depicted in Fig.1. The common building blocks consist of a Pd(100) substrate containing 4 layers on which a compressed MnO(100) monolayer with a rhombic distribution of Mn vacancies, resulting in an Mn₃O₄ stoichiometry, has been placed. As already mentioned in the introduction the lateral compression compensates for the positive mismatch between MnO and Pd. We have therefore adopted for both substrate and interfacial layer the PBE minimised lattice constant a_{Pd}^{PBE} =

2.79. Å, in good agreement with the experimental value of 2.75 Å. Based on the square-like termination of MnO(100) and Pd(100) three models of the interface emerge naturally depending on the site registry: RH1 is constructed by placing the interfacial O on top of the Pd atoms; RH2 correspond to a top site Mn-Pd junction, whereas in the RH3 model both Mn and O are placed in the Pd(100) bridge sites. In terms of the Mn vacancies the above models correspond to a hollow-site (RH1), top-site (RH2) and bridge-site (RH3) vacancy. To investigate the effect of magnetism on the stability of the c(4×2)-Mn₃O₄/Pd(100) system we have computed the total energy of each structural model as a function of the Mn spins orientation. Besides the trivial ferromagnetic (FM) ordering, the choice of a c(4×2) unit cell allows for the set up of two additional antiferromagnetic (AFM) orientations, AFM1 and AFM2. As displayed in Fig.1, AFM1 and AFM2 differ for the dissimilar spin alignment along the [100] direction which is FM and AFM, respectively.

Table I summarises the calculated relative energies for all nine possible configurations. The PBE values show that the RH1 is by 100-200 meV more favourable than any other geometrical setup and that the AFM2 ordering results in the most stable magnetic order. However, the inclusion of a fraction of non-local Hartee-Fock exchange within the HSE approach, though favouring the RH1 geometry like PBE, reverts the magnetic relative stability preferring the FM configuration. Although the contradiction between PBE and HSE results is not surprising, in particular if related to magnetic properties of transition metal oxides, the small energy differences (tens of meV) and the lack of experimental magnetic analysis on this system do not permit a definitive, beyond doubt, conclusion regarding the most stable magnetic ordering. In order to arrive at an indisputable and trustworthy answer a more detailed investigation of both RH1-FM and RH1-AM2 models is required, which discloses the interplay between the magnetic ordering and the structural, electronic and vibrational properties for which accurate experimental findings exist. In the following we will therefore present in parallel the predicted physical properties of the FM and AFM2 RH1 models and compare them with available experimental results, with the aim of determining the most favourable magnetic ground state.

In Fig. 2 we provide a graphical illustration of the optimised geometries for the RH1 FM and AFM2 models, whereas in Table II we list the most relevant structural relaxations and magnetic moments. Although the two minimised structures appear very similar, there are important magnetically driven differences which play a significant role, as we will discuss

TABLE I: Calculated relative stability (meV/unit cell) for the magnetic models investigated. HSE calculations have been only performed for the more favourable PBE models, namely RH1-FM and RH1-AFM2.

	FM	AFM1	AFM2
		DDE	
		PBE	
RH1	41	97	0
RH2	280	273	171
RH3	230	301	180
		HSE	
RH1	0	-	22

later on. First we focus our attention on the similar structural character of the explored models in terms of surface layer displacement (δ) , vertical buckling (b) and interface Mn₃O₄-Pd(100) distance (z). Most of the relaxations take place in the Mn_3O_4 layer which is found to be well separated from the substrate by z≈2.3 Å, about 20% larger than the Pd bulk interlayer distance. As a consequence, the Pd(100) substrate remains structurally unaffected by the growth of the Mn₃O₄ monolayer. The presence of the Mn vacancies dominates the structural rearrangement and determines the appearance of two distinct Mn types: Mn1 localised between two nearest neighbour vacancies and Mn2 forming zig-zag Mn-Mn chains along the [100] direction. In addition, the formation of the Mn vacancy breaks the local Mn-O bonds and induces a planar outward relaxation of the oxygen atoms which move closer to Mn1, resulting in the waving long-range arrangements highlighted in Fig. 2. The lateral oxygen displacement $\delta_{\rm O}$ =0.12 Å is found to be identical for both FM and AFM2, whereas the movements of the manganese atoms is notably different. Within an AFM2 ordering the lateral Mn2 displacement (δ_{Mn2} =0.12 Å) turns out to be 0.04 Å smaller than in FM and as a consequence of that a small corrugation of 0.05 Å along the chain is observed in RH1-AFM2 between adjacent antiferromagnetically coupled Mn2 atoms. Finally the Mn1 atoms in RH1-AFM2 experience a shift (δ_{Mn1} =0.07Å) towards the nearest AFM coupled Mn2, which is responsible for the smaller width of the Mn2-Mn2 zig-zag chain in RH1-AFM2 and the quite large buckling of 0.33 Å between inequivalent oxygen atoms. Within the FM configuration the δ_{Mn1} movement is forbidden by symmetry and cannot take place,

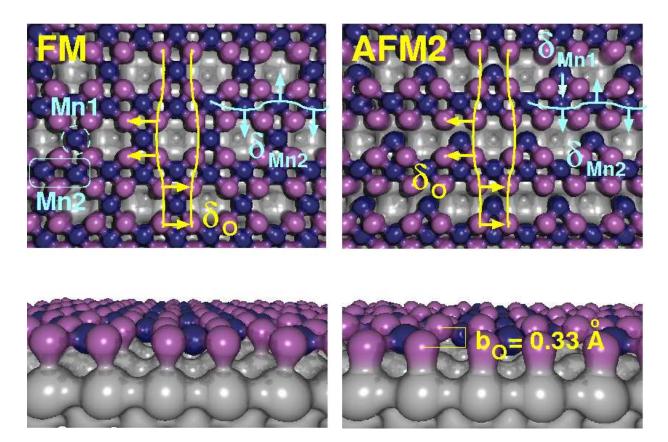


FIG. 2: (Colour online) Optimised geometrical structure for the $c(4\times2)$ Mn3O₄ RH1 model in FM (left) and AFM2 (right) magnetic configuration. The Pd(100) substrate is displayed with light gray large spheres, whereas Mn and O atoms are depicted with small dark (blue) and gray (pink) spheres. Two distinct Mn species are distinguishable: Mn1, sandwiched between two vacancies and two Mn2 atoms forming the zig-zag arrangements highlighted by the horizontal lines. Atoms around the manganese vacancies experience considerable strains (indicated by arrows) which leads to similarly distorted FM and AFM2 structures. The only sizeable differences between the FM and AFM2 minimised geometries reside in the displacement $\delta_{\rm Mn1}$ and in the vertical buckling between oxygen atoms which are not present in the FM model.

thus preventing the corrugation between the oxygen species.

As for the local magnetic moments (also reported in Table II) we do not observe any significant difference between the two spin arrangements. The inclusion of a fraction of HF exchange increases the local Mn moments by 10-15% with respect to the PBE values and gives an induced magnetic moment of $\approx 0.4~\mu_B$ on the Pd atoms directly underneath the O atoms. These HSE results are not unexpected considering the tendency of hybrid functionals to provide a generally more localised description and a larger splitting between majority and minority states, as shown in the comparison between PBE and HSE Mn density of states (DOS) in Fig. 3.

TABLE II: Calculated optimised geometry and local magnetic moments of the Mn_3O_4 RH1 phase compared with the ideal Mn_4O_4 structure, for both FM and AFM2 magnetic orderings. The structural data refer to PBE calculations and are all given in Å. Below the PBE magnetic moments, in μ_B , the corresponding HSE values are also listed. Symbols (schematically explained in Fig. 2): δ refers to the in-plane displacements of Mn and O atoms; b's are the vertical bucklings and z indicates the average interlayer distance between the MnO layer and the Pd(100) substrate (also given in percent relative to the bulk Pd(100) interlayer distance).

	$\delta_{ m Mn1}$	$\delta_{ m Mn2}$	$\delta_{ m O}$	$b_{ m O-Mn}$	$b_{\mathrm{O-O}}$	z	$m_{ m Mn1}$	$m_{ m Mn2}$	$m_{ m Pd}$
					FM				
M		0.10	0.10	0.00		0.00 (. 17 507)	0.74	0.40	0.16
Mn_3O_4	-	0.16	0.12	0.23	-	2.32 (+17.5%)	$3.74 \\ 4.20$	$3.40 \\ 3.76$	$0.16 \\ 0.42$
$\mathrm{Mn_4O_4}$	-	-	-	0.48	-	$2.38 \ (+20.5\%)$	3.64	3.64	0.21
					AFM2		4.24	4.24	0.34
					711 1/12				
$\mathrm{Mn_3O_4}$	0.07	0.12	0.12	0.23	0.33	2.36 (+19.6%)	3.77	3.26	0.05
9 - 4							4.28	3.70	0.42
${ m Mn_4O_4}$	-	-	-	0.49	-	2.45 (+24.1%)	3.72	3.72	-
							4.23	4.23	0.35

To understand the stabilisation mechanism of the RH1 phase it is instructive to make a comparison with the stoichiometric MnO monolayer. The epitaxial growth of a perfect MnO(100) monolayer on Pd(100) is clearly unfavoured because of the large lattice mismatch between MnO and Pd. In fact, the absence of Mn vacancies prevents the planar relaxations which give rise to the RH1 structure and lead to a very corrugated layer with a buckling of 0.5 Å between O and Mn as reported in Table II. In addition, for the ideal MnO(100) system we predict a larger separation z between adlayer and substrate which weakens substantially their mutual interaction.

Coming back to the nonstoichiometric adlayer, the analysis of the DOS combined with the structural properties described above allow for a comprehensive understanding of the bonding picture. The hybridisation between Pd d_{z^2} and O p_z orbitals, particularly strong around the Fermi energy (E_F), reflects the direct Pd-O atop site adsorption specific of the RH1 model. The electronic interactions within the Mn₃O₄ layer are instead dominated by the planar bonds between Mn1 and Mn2 d_{xy} states with O p_x and p_y orbitals. The

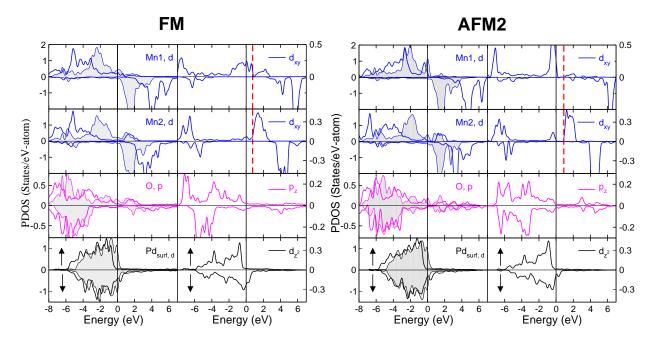
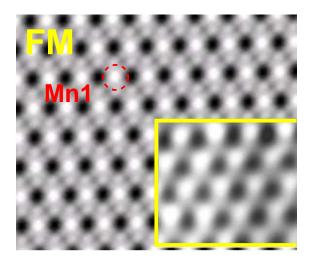


FIG. 3: (Colour online) Atom and spin resolved local density of states (LDOS) of the RH1 structure calculated within the HSE approach in the FM and AFM2 magnetic configurations. Shadow areas (left side of panels) refer to PBE data. For each atom, majority and minority spin states are plotted in different panels, as indicated by arrows.

structural differences between Mn1 and Mn2 are clearly expressed by a dissimilar DOS. More specifically, the Mn1-O bond, broken by the creation of the Mn vacancy, yields the formation of well localised states at E_F in Mn1 which are not observed in Mn2. These localised d states which characterise both FM and AFM2 magnetic alignments are responsible for the larger spin splitting and magnetic moment in Mn1 ($m_{\rm Mn1} \approx 4.2~\mu_B$) as compared to Mn2 ($m_{\rm Mn2} \approx 3.7~\mu_B$). A second important difference between FM and AFM2 resides in the DOS near E_F , in the energy window between -2 and +2 eV. In the FM alignment this energy region shows a predominant Mn1 d_{xy} spin up nature, characterised by a 3 eV broad band crossing E_F , and only above 1 eV the Mn2 contribution becomes significant. Within the AFM2 ordering the Mn1 d_{xy} band near E_F is much more localised and displays a single peak structure which decays sharply at E_F . Since the Mn2 d states remain unchanged as compared to the FM situation, a gap region emerges in the range (0-0.7) eV where the Mn₃O₄ overlayer shows an electronic behaviour different from that predicted for the FM phase.

At this point, comparison with the experiments is required to validate our results and to finally address the issue of which of the two modelled magnetic structures corresponds



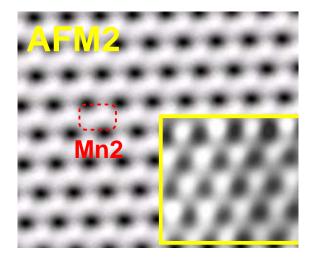


FIG. 4: (Colour online) HSE RH1-FM and RH1-AFM2 simulated STM images compared with the experimental STM image (shown in the insets) taken at a sample bias U=+0.8 V and tunnelling current I=0.25 nA. Oxygen atoms are not "seen", whereas manganese atoms appear as bright maxima. The detailed analysis of the maxima is discussed in the text.

to the observed one. In Fig.4 we compare the calculated FM and AFM2 STM images with the experimental picture. Remarkably, the comparison unambiguously shows that the theoretical RH1-FM model resembles much better the experimental STM image as far as the contrast and the relative brightness of the observed maxima are concerned. The measured picture is characterised by a rhombic arrangement of bright spots and dark depressions connected by weaker segments. These features are perfectly well reproduced by the simulated FM STM picture and can be interpreted in the following way: the black depressions reflect the network of Mn vacancies, the single bright spots can be assigned to the Mn1 species whereas the light segments correspond to the zig-zagging Mn2-Mn2 chains embedded in the regular array of oxygen atoms, which are not seen in the STM image. In the AFM2 model the Mn1 and Mn2 relative brightness is reverted: The zig-zagging Mn2-Mn2 chains appear as the prominent feature, whereas the Mn1 species are seen as weaker unresolved connections. Now, the following question arises naturally: why do the FM and AFM2 simulated profiles display different features? To answer this issue we note that the experimental STM image has been taken at bias +0.8 V, for which the FM and AFM2 phases show a very dissimilar character as discussed above and emphasised by the vertical dashed lines in Fig. 3. In the FM structure the STM simulation reproduces the states on top of the Mn1 d_{xy} band centred around E_F weakly overlapping with Mn2-like states. In contrast, within the AFM2 configuration the only states contributing to the DOS near +0.8 eV come from the Mn2 d_{xy}

TABLE III: Predicted dipole active modes for the RH1 phase within the FM and AFM2 magnetic orderings compared to the measured HREELS phonon peak. All data are given in meV.

	PBE	HSE	Expt.
FM	36.4	43.3	43.5
AFM2	35.4	39.6	

orbitals, which are therefore the ones seen in the AFM2 STM, in line with the interpretation given above.

Summing up, the distinct electronic character of the FM and AFM2 phases near the experimental bias voltage is correctly recognised by HSE and is reflected in the simulated STM images. The FM alignment of spins is essential to reproduce the experimental STM features and the HSE approach, by reverting the PBE relative stability between the FM and AFM2 structures (see Table I), shows once more its predictive power and its capability of improving the description of transition metal compounds with respect to standard DFT. We emphasise that a standard PBE approach alone cannot provide a satisfactory and comprehensive understanding of this system.

Additional support for the proposed RH1-FM surface structure is provided by the comparison between experimental and theoretical vibrational properties reported in Table III. HREELS experiments established that the phonon loss spectrum is characterised by a single peak located at 43.5 meV[16]. Indeed, our calculations correctly predict a single active dipole mode and demonstrate that the FM structure perfectly reproduces the experimental vibrational energy of 43.5 meV. Two important conclusions deserve particular enphasis: First, PBE is unable to supply a satisfactory answer, providing a much too low dipole active mode ($\approx 36 \text{ meV}$) and second, the AFM2 phonon peak is found to be 4 meV lower than the measured value. To conclude, in Fig.5 we show a pictorial view of the atomic displacements associated with the vibrational peak. Upon diagonalisation of the dynamical matrix HSE establishes that the active dipole mode originates from the anti-phase and out-of-plane vibrations of O and Mn atoms, a vibrational mode typical of the ideal MnO(100) surface[16].

These results as well as the explanation of the STM findings are the most convincing evidence for the correctness of our computational model.

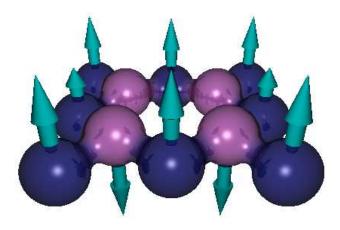


FIG. 5: Schematic illustration of the atomic displacements giving rise to the calculated Mn-O vibration mode. Mn and O atoms are sketched as black (blue) and gray (pink) spheres, respectively.

IV. CONCLUSIONS

The formation of the two-dimensional $c(4\times2)$ -Mn₃O₄ nanolayer phase on Pd(100) has been explored by means of first principles PBE and HSE calculations. Combining structural, electronic, magnetic and vibrational analysis we have demonstrated that the preferred model which correctly describes the available experimental information entails the formation of rhombically distributed Mn vacancies in a compressed MnO(100) adlayer, preferentially adsorbed with an O-Pd atop site registry on the Pd(100) substrate. We found that hybrid functional methods such as HSE are essential to capture and explain all relevant properties, which would be otherwise only loosely understood within a standard DFT approach. In particular, HSE reverts the relative stability of the two most stable magnetic structures considered and improves the computed vibrational energies over PBE. The role of the magnetic interactions is crucial to interpret the STM and HREELS experiments. The AFM2 ordering describes incorrectly the DOS of the Mn₃O₄ adlayer, reverts the brightness of the observed STM maxima and decreases significantly the phonon dipole active mode, in contrast with the FM results which are fully consistent with the experimental observations.

Our study underlines the complex interplay between orbital, spin and lattice degrees of freedom occurring in 2D transition metal oxide nanolayers and shows that the post-DFT approach we have used represents a qualitative and quantitative step forward in surface science modelling.

V. ACKNOWLEDGMENTS

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